This form is completed with the Principal Investigator or attending physician within two weeks after a patient is discharged or dies in the hospital. This review should be based on the hospital discharge summary or a phone call to the attending physician.

If you receive a discharge summary, keep it on file with this form. The discharge summary will need to be copied and sent to the DCC if this hospitalization is selected for complete review.

If the event leading to hospitalization began during a dialysis treatment, file a photocopy of that dialysis treatment's run sheet with this form and the discharge summary.

1.	Patient Identification Number
2.	Patient Name Code
3.	a. Date of Hospital Admission
	b. Name of Hospital
	c. Was the patient discharged (code=1) or did the patient die in the hospital (code=2)
	d. Date of Death or Discharge
4.	Primary reason for this hospitalization
	(from code list on pages 361.3 to 361.12)
5.	Secondary reason for this hospitalization
	(from code list on pages 361.3 to 361.12)
6.	a. Was there a surgery? (0=no, 1=yes, 9=unknown)
	b. If yes, was it planned? (0=no, 1=yes 9=unknown)
7.	Was drug discontinued?
	(0=no, 1=yes, 8=N/A, patient is not randomized, 9=N/A, already off drug)
	<i>Note: If Yes, be sure to file Form 335 for temporary discontinuation, or Form 336 for permanent discontinuation of therapy.</i>
8.	Was an access diagnostic procedure done? (0=no, 1=yes)
	Note: If Yes, be sure to file Form 354.
9.	For a graft patient, was access repair or replacement done or for a fistula patient, was there an access repair/event? (0=no, 1=yes)
	Note: If Yes, be sure to file Form 355 (or Form 352 for a fistula patient).
10.	Was there a bleeding episode (0=no, 1=yes)
	Note: If yes, be sure to file Form 363.
11.	Did the event lead to permanent disability? $(0 = no, 1 = yes)$

- 12. a. Which treatment does the study team think the patient was randomized to?.....
  - 1 = The team has been unblinded and knows it is placebo
  - 2 = The team believes it is placebo
  - 3 = The team does not know
  - 4 = The team believes it is active drug
  - 5 = The team has been unblinded and knows it is active drug
  - 8 = N/A, patient is not randomized
  - b. If 1 or 5, date of unblinding ......
- 13. What is the current thought of the Principal Investigator regarding whether this hospitalization was related to the patient's randomized study intervention?.....
  - 0 =Not related to the study drug.
  - 1 = **Unlikely to be related to the study drug.** This sort of event is not commonly associated with the study intervention, no temporal relationship with the study intervention exists, and other etiology does not seem possible.
  - 2 = **Possibly related to the study drug.**
  - 3 = **Probably related to the study drug.** This sort of event is commonly associated with the study intervention or a temporal relationship with the study intervention exists and no other etiology is apparent.
  - 4 = **Definitely related to the study drug**
  - 8 = N/A, patient is not randomized
- 14. If the event was definitely, probably or possibly related to the study medication (i.e. Q13 = 2, 3 or 4), then what was the expectedness of it?
  - 1 = Unexpected not mentioned in the informed consent
  - 2 = Expected, but of greater severity than mentioned in the informed consent.
  - 3 = Expected and accurately described in the informed consent.
- 15. What was the severity of the event? .....
  - 1 = Mild awareness of the sign or symptom, but easily tolerated
  - 2 = Moderate enough discomfort to interfere with usual activity
  - 3 = Severe incapacitating, with inability to do usual work or activity
- 16. Did another event occur during this hospitalization, which caused prolongation of the hospitalization? (0=no, 1=yes).....

*Note: Generally this means an event occurred that would have led to a hospitalization of NON-hospitalized patient.* 

17. If yes, what was the reason for the prolongation? (from code list on pages 361.3 to 361.12).....

- 18. What is the current thought of the Principal Investigator regarding whether this prolongation of hospitalization was related to the patient's randomized study intervention?
  - 0 = Not related to the study drug.
  - 1 = **Unlikely to be related to the study drug.** This sort of event is not commonly associated with the study intervention, no temporal relationship with the study intervention exists, and other etiology does not seem possible.
  - 2 = **Possibly related to the study drug.**
  - 3 = **Probably related to the study drug.** This sort of event is commonly associated with the study intervention or a temporal relationship with the study intervention exists and no other etiology is apparent.
  - 4 = **Definitely related to the study drug**
  - 8 = N/A, patient is not randomized
- 19. If the event was definitely, probably or possibly related to the study medication (i.e. Q18 = 2, 3 or 4), then what was the expectedness of it?
  - 1 = Unexpected not mentioned in the informed consent
  - 2 = Expected, but of greater severity than mentioned in the informed consent.
  - 3 = Expected and accurately described in the informed consent.

### 20. What was the severity of the event? .....

- 1 = Mild awareness of the sign or symptom, but easily tolerated
- 2 = Moderate enough discomfort to interfere with usual activity
- 3 = Severe incapacitating, with inability to do usual work or activity
- 202. User ID of person completing this form .....

Clinical Center Use Only

Date Form Entered \_\_\_\_/\_\_\_/\_\_\_\_\_

Person Entering this Form \_\_\_\_\_

## DAC Studies: Hospitalization Admission and Discharge Code List of Diagnoses and Procedures (For Form 361)

Instructions to Coding: When parentheses () are next to the code, you need to add one of the following: 1 = New, 2 = Worsening, 3 = Not a new condition Note: A terminal code of 0 indicates a procedure.

An asterik (\*) indicates that the disease or condition is also classified as an "infection outcome".

### 1. **ISCHEMIC HEART DISEASE (IHD)**

Also see category: coronary heart disease (CHD) or coronary artery disease (CAD)

- 01AA(\_) Chest pain of non-cardiac or unclear etiology (R/O MI admission)
- 01AB(\_) CAD
- 01AC(\_) Angina
- 01AD0 Bypass surgery (CABG)
- 01AE0 Coronary angiography
- 01AF0 Coronary angioplasty (PTCA) or atherectomy
- 01AG Myocardial infarction (acute)(MI)
- 01AH Cardiac arrest

### 2. **CONGESTIVE HEART FAILURE (CHF)**

02AA(_	)	CHF

- 02AB(\_) CHF due to volume overload
- 02AC(\_) Pulmonary edema (cardiogenic)
- 02AD(\_) Pleural effusions
- 02AE0 Thoracentesis (diagnostic or therapeutic)
- 02AF Cardiogenic shock

### 3. ARRHYTHMIAS AND CONDUCTION PROBLEMS

- 03AA(\_) Syncope (also presyncope and syncopal episode)
- 03AB(\_) Atrial fibrillation
- 03AC(\_) Ventricular tachycardia
- 03AD(\_) Supraventricular tachycardia
- 03AE(\_) Sick sinus (tachy-brady) syndrome
- 03AF(\_) Atrioventricular conduction block
- 03AG(\_) Hyperkalemia
- 03AH(\_) Other new or other arrhythmia and conduction problem
- 03AI0 Cardioversion
- 03AJ0 Electrophysiologic studies (EPS)

03AL0 Pacemaker malfunction/repair

### 4. **OTHER HEART DISEASES AND CONDITIONS (OHD)**

04AA(_)	Pericarditis
0 II II I(_)	1 cilcul altib

- 04AB(\_) Endocarditis
- 04AC(\_) Myocarditis
- 04AD(\_) Cardiomyopathy (without IHD or CHF)
- 04AE(\_) Pericardial effusion
- 04AF(\_) Aortic valve stenosis or insufficiency
- 04AG(\_) Mitral valve stenosis, regurgitation, or prolapse
- 04AH(\_) Other valve defect
- 04AI(\_) Other condition
- 04AJ0 Cardiac tamponade
- 04AK0 Pericardiocentesis
- 04AL0 Aortic valve replacement
- 04AM0 Mitral valve replacement
- 04AN0 Balloon valvuloplasty

### 5. **HYPERTENSION (HTN)**

05AA(\_) Hypertensive crisis or accelerated HTN

### 6. CEREBRAL VASCULAR DISEASE (CVD)

- 06AA(\_) Transient ischemic attack (TIA)
- 06AB(\_) Cerebral vascular accident (CVA)
- 06AC(\_) Carotid artery stenosis
- 06AD(\_) Cerebral artery aneurysm
- 06AE(\_) Subarachnoid or cerebral hemorrhage
- 06AF(\_) Other CVD condition
- 06AG0 Carotid endarterectomy (CEA)
- 06AH0 Carotid angiogram

### 7. PERIPHERAL VASCULAR DISEASE (PVD)

- 07AA(\_) Deep vein thrombosis (DVT)
- 07AB(\_) Pulmonary embolism
- 07AC(\_) Peripheral vascular disease
- 07AD(\_) Ischemic foot ulcers
- 07AE(\_) Gangrene of toes or foot\*
- 07AF(\_) Abdominal aortic aneurysm (AAA)
- 07AG(\_) Thoracic aneurysm (TAA)
- 07AH(\_) Hemorrhage from ruptured vascular aneurysm
- 07AI(\_) Aortic aneurysm (not specified)

07AJ(_)	Other aneurysm
07AK(_)	Mesenteric ischemia or infarction (ischemic bowel)
07AL(_)	Cellulitis (non-access related)*
07AM(_)	Gangrene with septicemia-shock due to PVD
07AN(_)	Other condition due to PVD or other disorder of arteries
07AO(_)	Polyarteritis nodosa and other arteritides
07AP	Arterial embolism
07AQ0	AAA repair
07AR0	TAA repair
07AS0	Angioplasty for PVD
07AT0	Bypass graft for PVD
07AW0	Amputation site: toe(s)
07AX0	transmetatarsal
07BA0	Left below the knee amputation
0 <b>-D D</b> 0	<b>N I I I I I I I I I I</b>

- 07BB0 Right below the knee amputation
- 07BC0
- Left above the knee amputation
- Right above the knee amputation 07BD0

#### 8. DIABETES MELLITUS (DM) AND ENDOCRINE DISORDERS

- Diabetic foot infection\* 08AA()
- Gangrene of foot or toes (absence of PVD)\* 08AB(\_)
- 08AC(\_) Hypothyroidism
- 08AD(\_) Other disorders of the thyroid gland
- 08AE Diabetes with ketoacidosis
- Diabetes with hyperosmolar state or coma 08AF
- 08AG Hypoglycemic coma
- 08AH0 Pancreatic transplant
- Other endocrine disorder 08AI()

#### 9. **RESPIRATORY DISEASE**

- 09AB(\_) COPD
- 09AC(\_) **Bronchitis**
- 09AD() Pneumothorax
- Empyema\* 09AE(\_)
- 09AF(\_) Lung abscess\*
- 09AG() Pulmonary TB\*
- 09AH(\_) Respiratory failure not requiring intubation and mechanical ventilation
- Respiratory failure requiring intubation and mechanical ventilation 09AI()
- 09AJ(\_) Adult respiratory distress syndrome (ARDS)
- Respiratory failure of unknown cause 09AK
- Other respiratory disease 09AL(\_)
- Pulmonary hemorrhage 09AM(\_)
- 09AN() Pneumonia (nosocomial)\*

- 09AO() Pneumonia (community acquired)\*
- 09AP() Pneumonia-sepsis\*
- 09AQ() Pneumonia (bacterial)\*
- 09AR() Pneumonia (fungal)\*
- 09AS() Pneumonia (viral)\*
- 09AT() Pneumocystis pneumonia\*
- 09AU() Aspiration pnoumonia\*
- 09AV() Pneumonia (unspecified pathogon)\*
- 09AW0 Open lung biopsy
- 09AX0 Lung lobectomy
- 09AY() Upper respiratory tract disorders
- 09AZ0 ENT procedures

### 10. MALIGNANCY

10AA(_)	Hematologic malignancy (AML, ALL, CLL)
$10AA(_)$ $10AB(_)$	
	Lymphoma (unspecified)
10AC(_)	Hodgkin's lymphoma
10AD(_)	Non-Hodgkin's lymphoma
10AE(_)	Multiple myeloma
10AF(_)	Colon cancer
10AG(_)	Breast cancer
10AH(_)	Prostatic cancer
10AI(_)	Ovarian cancer
10AJ(_)	Lung cancer
10AK(_)	Gastric cancer
10AL(_)	Pancreatic cancer
10AM(_)	Thyroid cancer
10AN(_)	Cervical cancer
10AO(_)	Endometrial cancer
10AP(_)	Primary cancer of liver
10AQ(_)	Head and neck squamous cell carcinoma
10AR(_)	Testicular cancer
10AS(_)	Renal cancer
10AT(_)	Bladder cancer
10AU(_)	Melanoma
10AV(_)	Other skin cancer
10AW(_)	Other malignancy or neoplasia
10AX(_)	Metastatic carcinoma unknown primary
10AY(_)	Complication(s) of pre-admission diagnosed cancer
10BA0	Diagnosis: surgical biopsy
10BB0	other procedure for biopsy
10BC0	other diagnostic procedure
10CA0	Treatment: radiation therapy
10CB0	chemotherapy
10CC0	surgical excision
	361.7

10CD0 other treatment

### 11. HEPATOBILIARY DISEASE

- 11AB(\_) Hepatitis C
- 11AC(\_) Toxic/drug-induced hepatitis
- 11AD(\_) Hepatitis (other; unknown cause)
- 11AE(\_) Cirrhosis
- 11AF(\_) Ascites
- 11AG(\_) Portal hypertension or esophageal varices
- 11AH(\_) Variceal bleed
- 11AI(\_) Hepatic failure/severe dysfunction
- 11AJ(\_) Cholecystitis/cholangitis\*
- 11AK(\_) Other hepatobiliary disease
- 11AL Biliary sepsis\*

11AM0

- Cholecystectomy
- 11AN0 Liver transplant
- 11AO0 Shunt procedure
- 11AP0 Paracentesis (diagnostic or therapeutic)

### 12. MUSCULOSKELETAL AND CONNECTIVE TISSUE DISEASES

12AA( )	Gout
12AB()	Wegener's granulomatosis
12AC()	Systemic vasculitis
12AD(_)	SLE
12AE(_)	Avascular necrosis
12AF(_)	Osteomyelitis*
12AG(_)	Septic arthritis*
12AH(_)	Back problems
12AI(_)	Other musculoskeletal or connective tissue disease
12AJ0	Bone fracture
12AK0	Parathyroidectomy
12AL0	Carpal tunnel surgery
12AM0	Arthroscopy
12AN0	Hip replacement
12AO0	Knee replacement
12AP0	Knee procedures (other than replacement)
12AQ0	Internal fixation or surgical reduction of bone fracture
12AR0	Other orthopedic surgery
12AS0	Back and/or neck procedure
12AT()	Hyperparathyroidism

- 12AU() Hypoparathyroidism
- 12AV0 Other calcium-phosphorus disorder

# 13. GASTROINTESTINAL CONDITIONS (GI)

1244()	
13AA(_)	Upper GI bleed
13AB(_)	Lower GI bleed
13AC(_)	GI bleeding, site unknown
13AD(_)	Peptic ulcer disease
13AE(_)	Gastritis
13AF(_)	Reflux esophagitis (with or without hiatal hernia)
13AG(_)	Diverticulitis*
13AH(_)	Colonic polyps
13AI(_)	Ulcerative colitis (UC)
13AJ(_)	Enteritis (Crohn's disease)
13AK(_)	Septicemia due to peritonitis*
13AL(_)	Pancreatitis
13AM(_)	Necrotising enterocolitis*
13AN(_)	C. difficile associated enterocolitis*
13AO(_)	Peritonitis*
13AP(_)	Fungal peritonitis*
13AQ(_)	Appendicitis*
13AR(_)	Ischemic bowel
13AS(_)	Intra-abdominal abscess*
13AT(_)	Abdominal pain, cause unknown
13AU(_)	Malabsorption
13AV(_)	Perforated viscus (peptic ulcer or bowel)*
13AX(_)	Gastroparesis
13BA0	Colectomy (partial or total)
13BB0	Gastrectomy
13BC0	Colostomy or ileostomy
13BD0	Gastrostomy/enterostomy
13BE0	Appendectomy
13BF0	Laparotomy
13BG0	Other GI condition or procedure
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### 14. NONVASCULAR NERVOUS SYSTEM DISEASES

14AA(_)	Mental status change (acute)
14AB(_)	Seizure disorder
14AC(_)	Disequilibrium - syndrome
14AD(_)	Coma-stupor (traumatic cause)
14AE(_)	Coma-stupor (toxic-drug induced)
14AF(_)	Coma-stupor (metabolic cause, non-diabetic)
14AG(_)	Coma-stupor (anoxic encephalopathy)
14AH(_)	Coma-stupor (other unknown cause)
14AI(_)	Alcohol non-accidental
14AJ(_)	Drug overdose
14AK(_)	Head trauma
14AL(_)	Parkinson's disease
14AM(_)	Multiple sclerosis
14AN(_)	Subdural or epidural hematoma
14AO(_)	Depression
14AP(_)	Nervous system neoplasm
14AQ(_)	Alcohol/drug abuse related (detoxification included)
14AR(_)	Other psychiatric or mental disorder
14AS(_)	Viral meningitis*
14AT(_)	Meningitis (non-viral)
14AU(_)	Other CNS infection*
14AV(_)	Ataxia
14AW(_)	Cranial or peripheral nerve disorder
14AX(_)	Other nonvascular nervous system condition
14AY(_)	Suicide attempt

### 15. URINARY TRACT CONDITIONS

15AA(_)	Urinary tract infection requiring antibiotics*
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- 15AB(\_) Nephrolithiasis
- 15AC(\_) Benign prostatic hypertrophy
- 15AD(\_) Prostatitis
- 15AE(\_) Orchitis
- 15AF(\_) Cystic kidney disease (PKD or acquired)
- 15AG(\_) Cyst-related hemorrhage
- 15AH(\_) Cyst-related infection
- 15AI(\_) Urinary tract hemorrhage
- 15AJ0 Nephrectomy unilateral
- 15AK0 Nephrectomy bilateral
- 15AL0 Prostatectomy (radical)
- 15AM0 Transurethral prostatectomy (TURP)
- 15AN0 Other transurethral procedures (cystoscopy included)
- 15A00 Other urologic procedure
- 15AP(\_) Hematuria

15AQ Acute Transplant Rejection

### 16. **HIV/AIDS**

16AA(_)	AIDS-related infection*
16AB(_)	Other AIDS-related condition (non-infection)
16AC(_)	HIV positive

### 17. **OPHTHALMOLOGIC CONDITIONS**

- 17AA(\_) Retinal or vitreous hemorrhage
- 17AB(\_) Endophthalmitis\*
- 17AC(\_) Other disorder of the eye
- 17AD0 Iris or lens procedure (cataract surgery included)
- 17AG0 Orbital procedure (vitrectomy included)
- 17AH0 Retina procedure (laser surgery included)
- 17AI0 Other ophthalmologic procedure

### 18. **INFECTIONS**

18AA(_)	Abscess (lung, empyema, intra-abdominal, brain, soft tissue
	not access-related)*
18AB(_)	Miliary TB*
18AC(_)	Extrapulmonary TB*
18AD(_)	Disseminated candidiasis*
18AE(_)	Other fungal infection**
18AF(_)	Viral infection (including CMV)*
18AG(_)	Other viral infection (not hepatitis)*
18AH(_)	Protozoan or parasitic infection (not PCP)*
18AI(_)	Other infection (not recorded in previous category)*
18AJ	Septic shock*
18AK	Bacteremia (known source not access-related)*
18AM	Bacteremia (unknown source, not access-related)*

### 19. NON-MALIGNANT HEMATOLOGIC CONDITIONS

- 19AA(\_) Coagulation disorders
- 19AB(\_) Thrombocytopenia (secondary)
- 19AC(\_) Thrombocytopenia (idiopathic)
- 19AD(\_) Disseminated intravascular coagulation
- 19AE(\_) Other consumption coagulopathy
- 19AF(\_) Thrombotic thrombocytopenic purpura (TTP) and hemolytic uremic syndrome (HUS)

19AG0 Other

### 20. HEMODIALYSIS VASCULAR ACCESS COMPLICATIONS

20AA0	Elective surgical access repair
20AB(_)	Soft tissue infection, cellulitis, abscess (access related)*
20AC	Bacteremia or sepsis, access related*
20AD(_)	Clotted access
20AE(_)	Venous thrombosis, access related
20AF(_)	Arterial thrombosis or embolism, access related
20AG(_)	Steal syndrome, limb ischemia, access related
20AH	Hemorrhage from vascular access
20AI(_)	Nerve entrapment, access related
20AJ0	Fistulogram, arteriogram, or other invasive imaging procedure
20AK0	Access declotting procedure
20AL0	Angioplasty or stent placement for vascular access
20AM0	Non-elective surgical access repair
20AN0	Temporary access placement
20AO(_)	Pneumothorax, hemothorax as result of temporary access placement
20AP(_)	Subclavian vein stenosis as result of temporary access
20AQ0	New access creation (AV-fistula)
20AR0	New access placement (AV-graft)
20AS(_)	Other access-related condition
20AT0	Other access-related procedure

### 21. OTHER HEMODIALYSIS COMPLICATIONS

21AA	Uremia

- 21AC Air embolism
- 21AD Anaphylaxis, treatment related
- 21AE Hemolysis, treatment related
- 21AF Electrolyte and acid-base disorder (other than hyperkalemia), treatment related
- 21AG Dialysis-induced hypotension
- 21AH Other accident related to treatment
- 21AI Febrile reaction, not infection
- 21AJ Start of hemodialysis

### 22. **OTHER SURGICAL PROCEDURES**

### 22AA(\_) Trauma

- 22AB(\_) Major hemorrhage (not C1 or pulmonary)
- 22AC(\_) Hemorrhagic shock
- 22AD0 Skin graft/skin ulcer debridement
- 22AE0 Hernia procedure
- 22AF0 Other elective surgery procedure
- 22AG0 Kidney transplant

### 23. **OTHER**

- 23AA Withdrawal from dialysis
- 23AB Other hemorrhage
- 23AC Other trauma
- 23AD Drug overdose (accidental)
- 23AE Accident unrelated to treatment
- 23AF Drug reaction (anaphylaxis)
- 23AG Drug reaction (not anaphylaxis, not overdose)
- 23AH Other electrolyte/acid-base disorder, not treatment related
- 23AI Cachexia
- 23AJ Hospitalization for transplant evaluation

### 24. UNKNOWN

# 25. HEMODIALYSIS VASCULAR ACCESS COMPLICATIONS RELATED TO THE ACCESS PUT IN FOR DAC

- 25AA0 Elective surgical access repair Soft tissue infection, cellulitis, abscess (access related)\* 25AB() 25AC Bacteremia or sepsis, access related\* Clotted access 25AD(\_) 25AE(\_) Venous thrombosis, access related 25AF() Arterial thrombosis or embolism, access related 25AG(\_) Steal syndrome, limb ischemia, access related Hemorrhage from vascular access 25AH 25AI(\_) Nerve entrapment, access related 25AJ0 Fistulogram, arteriogram, or other invasive imaging procedure Access declotting procedure 25AK0 Angioplasty or stent placement for vascular access 25AL0 25AM0 Non-elective surgical access repair 25AN0 Temporary access placement 25AO() Pneumothorax, hemothorax as result of temporary access placement 25AP(\_) Subclavian vein stenosis as result of temporary access 25AS(\_) Other access-related condition 25AT0 Other access-related procedure
- 25 AU Access placement (only when hospitalization is prolonged by a complication)